



PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/349,198
	Filing Date	July 7, 1999
	First Named Inventor	Christopher F. Parker
	Art Unit	3624
	Examiner Name	Ella Colbert
Total Number of Pages in This Submission	Attorney Docket Number	56129861-26

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): one reference; copy of European Supplementary Search Report; postcard. PTO / SB08B form.
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eunhee Park Baker & McKenzie
Signature	
Date	November 5, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Eunhee Park		
Signature			
	Date	November 5, 2003	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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56129861-26

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/349,198
Applicant : Christopher F. Parker
Filed : July 7, 1999
TC/A.U. : 3624
Examiner : Ella Colbert

Docket No. : 56129861-26
Customer No. : 26453

Confirmation No. 6293

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Commissioner for Patents
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INFORMATION DISCLOSURE STATEMENT

SIR:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for the Applicant hereby bring the following reference in the above-identified application to the attention of the Examiner. The reference is listed on the attached modified Form No. PTO/SB08B. A copy of the Supplementary European Search Report citing this reference is also enclosed. (The other reference, U.S. Patent No. 5,887,274, from the Supplementary European Search Report was filed electronically in an Information Disclosure Statement in this application today.) It is respectfully requested that the information be expressly considered during the prosecution of this application and that the reference be made of record therein and appear

CERTIFICATE OF MAILING

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Eunhee Park

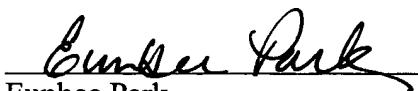
Eunhee Park (Reg. No. 42,976)

among the "References Cited" on any patent to issue therefrom. A copy of the reference is enclosed.

This information disclosure statement is being filed under 37 C.F.R. § 1.97(b)(4) before the mailing of a first Office Action after the filing of a request for continued examination under §1.114.

No fee is believed due. However, if a fee is deemed due, the Commissioner of Patents and Trademarks is hereby authorized to charge any fees or credit any overpayments that may be necessary to Deposit Account No. 02-0393 of Baker & McKenzie.

Respectfully submitted,



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known

Application Number	09/349,198
Filing Date	July 7, 1999
First Named Inventor	Christopher F. Parke
Art Unit	3624
Examiner Name	Ella Colbert
Attorney Docket Number	56129861-26

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	MOHAN, C. et al., "Aries: A transaction Recovery Method Supporting Fine-Granularity Locking and Partial Rollbacks Using Write-Ahead Logging",	
		ACM Transactions on Database Systems, Association for Computing Machinery. New York, US, Vol. 17, No. 1, March 1992 (1992-03), pages 94-162, XP001098645, ISSN: 0362-5915.	
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

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